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PTO/SB/05 (03-01)

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.		CP-1228			
		Mu-III LIM, et al			
	Primary Interm	ediates for Oxidative Coloration			
Title					
		EM220 061 512HC			

Conly for new nonprovisional applications under 37 CFR 1.53(b)   Express Mail Label No.   EM239 961 512US			<u> </u>			- N	4000	004	<u> </u>	
ADDRESS TO: Box Patent Application   Washington, DC 20231	(Only for new nonprovision	nal applications under 37 CFR 1.53(t	))	ress Ma	ail Label No	<u>.</u>   ⊏   V	1239	961	51208	
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Computer Program (Appendix) See 37 CFR 1.27.    Specification   Total Pages   T49			7		2D DOM 01				•	
Applicant claims small entity status.  Sea of CFR 1.27  Specification Specification Cross Reference to Related Applications Cross Reference to Related Specification Sequence Listing on: Description of the Drawings (if filed) Description of the Drawings (if filed) Description Claim(s) Drawing(s) (35 U.S.C. 113) [ Total Sheets	1. (Submit an original and a	duplicate for fee processing)	7.						e table or 	
See 3f CFR 1.2f.    Computer Readable Form (CRF)			8.						<b>o</b> Submis <b>i</b> fito	n 🗐
Continuation Data Sheet. See 37 CFR 1.76   Continuation Data Sheet				(if appli	icable, all nece	essary)	,		ρ.,	ゴ를に
Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Detailed Description - Claim(s) - Abstract of the Disclosure  - Abstract of the Disclosure  - Newly executed (original or copy) - Copy from a prior application (37 CFR 1.63 (d)) - Detailed Description or prior application (37 CFR 1.63 (d)) - Detailed Description - Limiting Description - Claim(s) - Abstract of the Disclosure  - Newly executed (original or copy) - Copy from a prior application (37 CFR 1.63 (d)) - Description of InVENTOR(S) - Signed statement attached deleting inventor(s) - Insert on the prior application, see 37 CFR - Insert on the prior application, see 37 CFR - Insert on the prior application, see 37 CFR - Insert on the prior application, see 37 CFR - Insert on the prior application, see 37 CFR - Insert on the prior application or in an Application Data Sheet. See 37 CFR 1.76:  - Application Data Sheet. See 37 CFR 1.76:  - Continuation Description or in an Application Data Sheet under 37 CFR 1.76:  - Continuation Description or in an Application Data Sheet under 37 CFR 1.76:  - Continuation Description or in an Application Data Sheet under 37 CFR 1.76:  - Continuation Description or in an Application Data Sheet under 37 CFR 1.76:  - Continuation Description or in an Application Data Sheet under 37 CFR 1.76:  - Continuation Description or in an Application Description or in a prior in the incorporation or in an Application Description or in an Application Description or in an Application and in the prior application in an internation becomes an application and in the submitted application and in the submitted application and i		[Total Pages [ <b>T 1</b> ]		а. 🔲	Computer Re	eadable	Form (	CRF)	Ω.	三重に
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or a computer program listing appendix  Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawings (if flied)  Detailed Description  ACCOMPANYING APPLICATION PARTS  Joannest Papers (cover sheet & document(s))  Joannest Power of (when there is an assignee)  Accompanying Application Decument (if applicable)  Information Discolosure  Newly executed (original or copy)  Information Discolosure  Newly executed (original or copy)  Newly executed (original or copy)  Information Discolosure  Newly executed (original or copy)  Information Discolosure  Information Discolosur				ı		OW O	CD-R (2	copie	is); or <b>u</b>	
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- Detailed Description - Claim(s) - Claim(s) - Claim(s) - Abstract of the Disclosure  - Drawing(s) (35 U.S.C. 113)	- Brief Summary	of the Invention	Γ	ACC	COMPANY	NG A	PPLIC	ATIO	ON PAF	₹TS
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b. Copy from a prior application (37 CFR 1.63 (d)) b. Copy from a prior application (37 CFR 1.63 (d)) f(for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33(b).  Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation—Pert (CIP) Prior application Information For CONTINUINTION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 55, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Clairol Incorporated 2 Blachley Road  City Stamford State Connecticut Zip Code 06922  Country United States Telephone  Registration No. (Attorney/Agent) 28,682  Name (Print/Type) Charles J. Zeller  Registration No. (Attorney/Agent) 28,682		<u> </u>	J''	=	•	,		Land	Ultatio	ns
14.				13.	-			DED 6	:02)	
Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	b. (for continua	tion/divisional with Box 18 completed	<i>)</i> 1	14.	(Should be sp	pecifica	illy itemi:	zed)	·	
Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		1	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)							
1.63(d)(2) and 1.33(b).    Application Data Sheet. See 37 CFR 1.76					Monnublication Poquest under 25 U.S.C. 122					
Application Data Sheet. See 37 CFR 1.76    17.	1.63(d)(2)		(b)(2)(B)(i). Applicant must attach form PTO/SB/35							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) Prior application Information: Examiner Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label (Insert Customer No. er. Attach bar code label here)  Clairol Incorporated  2 Blachley Road  City Stamford State Connecticut Zip Code 06922  Country  United States Telephone (203) 316-2566 Fax  Name (Print/Type) Charles J. Zeller  Registration No. (Attorney/Agent) 28,682	Anniinatian Data	Chart Car 27 OFD 4 70			or its equival	ent.				
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-In-part (CIP) of pnor application No	Application Data	Sheet. See 37 CFR 1.76	1	7.	Other:					•••
Prior application information: Examiner Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    Customer Number or Bar Code Label (Insert Customer Na. or Atlach bar code label here)   or	18. If a CONTINUING APPLI or in an Application Data She	CATION, check appropriate box, and eet under 37 CFR 1.76:	d supply the	requisite	information b	elow a	nd in a p	relimi	nary ame	ndment,
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No. or Atlach bar code label bere)  Or Correspondence address below  Charles J. Zeller  Clairol Incorporated  2 Blachley Road  City Stamford State Connecticut Zip Code 06922  Country United States Telephone (203) 316-2566 Fax  Name (Print/Type)  Charles J. Zeller  Registration No. (Attorney/Agent)  28,682	Continuation	Divisional Continuation-in-part	(CIP)	of pno	or application No ·_					
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  Name  Charles J. Zeller  Clairol Incorporated  2 Blachley Road  City  Stamford  State  Connecticut  Zip Code  06922  Country  United States  Telephone  Registration No. (Attorney/Agent)  28,682										
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    Submitted application parts	For CONTINUATION OR DIVISI	ONAL APPS only: The entire disclosure	of the prior	applicati	on, from which	an oat	h or décl	aratior	is suppli	ed under
Customer Number or Bar Code Label  (Insert Customer No. or Allach bar code label here)  Name  Charles J. Zeller  Clairol Incorporated  Address  2 Blachley Road  City  Stamford  State  Connecticut  Zip Code  06922  Country  United States  Telephone  Registration No. (Attorney/Agent)  28,682	The incorporation can only be	relied upon when a portion has been in	advertently o	or aivision omitted fr	nai application om the submitt	and is l	nereby ir lication p	icorpoi arts.	rated by re	eterence.
Name  Charles J. Zeller  Clairol Incorporated  2 Blachley Road  City  Stamford  State  Country  Charles J. Zeller  Registration No. (Attorney/Agent)  28,682		19. CORRESP	ONDENCE	ADDRES	SS					
Clairol Incorporated  2 Blachley Road  City Stamford State Connecticut Zip Code 06922  Country United States Telephone (203) 316-2566 Fax  Name (Print/Type) Charles J. Zeller Registration No. (Attorney/Agent) 28,682	Customer Number or Bar Co	ode Label (insert Customer Na. or At	ach bar code lal	del here)	or [		Correspond	dence a	ddress below	,
Address  City  Stamford  State  Connecticut  Zip Code  06922  Country  United States  Telephone  Charles J. Zeller  Registration No. (Attorney/Agent)  28,682	Name	Charles J. Zeller								
City Stamford State Connecticut Zip Code 06922  Country United States Telephone (203) 316-2566 Fax  Name (Print/Type) Charles J. Zeller Registration No. (Attorney/Agent) 28,682		Clairol Incorporated								
Country United States Telephone (203) 316-2566 Fax  Name (Print/Type) Charles J. Zeller Registration No. (Attorney/Agent) 28,682	Address	2 Blachley Road								
Name (Print/Type) Charles J. Zeller Registration No. (Attorney/Agent) 28,682	City	Stamford	State	Co	nnecticut		Zip C	ode	06922	
Name (Philotype) Registration No. (Attorney/Agent) 20,002	Country	United States	Telephon	9 (20	03) 316-2	566	Fa	x		
	Name (Print/Type)	Charles J. Zeller	F	gegistra:	tion No. (Atto	orney/	Agent)		28,68	2
	Signature	11.10	100	<del>/</del>		Ť		Tanı	ary 10	2002

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT 1104.00

J.A

Complete if Known		
Application Number		
Filing Date	JANUARY 18, 2002 Mu-III LIM, et al	
First Named Inventor	Mu-III LIM, et al	
Examiner Name		
Group Art Unit		
Attorney Docket No.	CP-1228	

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated fees and credit any everywheats to:	3. ADDITIONAL FEES					
Denosit	Large Small					
Account   02-3864	Entity Entity Fee	Coo Delei				
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Account Name	105 130 205 65 Surcharge - late filing fee or oath					
Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Applicant claims small entity status	139 130 139 130 Non-English specification					
See 37 CFR 1 27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
Check Credit card Money Other	113 1,840* 113 1,840* Requesting publication of SIR after					
FEE CALCULATION	Examiner action					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month					
Large Entity Small Entity	116 400 216 200 Extension for reply within second month	$\overline{}$				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month					
101 740 201 370 Utility filing fee 740.00	118 1,440 218 720 Extension for reply within fourth month					
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month					
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal					
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal					
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing					
SUBTOTAL (4) (C) 740 00	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) 740.00	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional					
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)					
Total Claims 24 -20** = 4 X 18.00 = 72.00 Independent	143 460 243 230 Design issue fee					
Claims	144 620 244 310 Plant issue fee					
Multiple Dependent	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00				
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection					
104 280 204 140 Multiple dependent claim, if not paid	(37 CFR § 1 129(a))  149 740 249 370 For each additional invention to be					
109 84 209 42 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))					
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)					
and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (\$) 324.00	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	40.00				

SUBMITTED BY	TTED BY Complete (if applicable)					
Name (Print/Type)	CHARLES J. ZELLER ESQ. Registration No Attorney/Agent) 28,682	Telephone	(203) 316-2566			
Signature	Charles Selle	Date	January 18,2002			

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(1) New Patent Application containing 40 pgs. of speci

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(1) New Patent Application containing 40 pgs. of specification; 8 pgs.; of claims; 1 pg. Abstract

(2) Combined Declaration and Power of Attorney (2 pgs.);

(3) Assignment (2 pgs.) with Recordation Form Cover Sheet (in dupl.)

(4) Application Fee Transmittal Form in dupl.

(5) Utility Patent App. Transmittal

(6) Certificate of Mailing (Express Mail)

(7) Return Postcard

Mana Jachano: / Maria Yackanicz Signature of Kerson mailing papers & fee